ATENT COOPERATION TRATY

From the	INTER	ΙΝΔΊ	ΓΙΟΝΑ	I RI	IRFALL
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PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT

Washington, D.C.20231

ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 17 August 2000 (17.08.00)

International application No. PCT/DK99/00624

International filing date (day/month/year) 12 November 1999 (12.11.99) Applicant's or agent's file reference 5753.204-WO, ATG

Priority date (day/month/year) 12 November 1998 (12.11.98)

Applicant

NIELSEN, Jack, Bech et al

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	05 June 2000 (05.06.00)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

A. Karkachi

Facsimile No.: (41-22) 740.14.35 Telephone No.: (41-22) 338.83.38

TENT COOPERATION TREATOR!

	From the	e INTERNATIONAL BU	REAU
PCT	To:		
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 17 January 2001 (17.01.01)	Pater Krogs DK-28	DZYMES A/S its shoejvej 36 880 Bagsværd EMARK	
Applicant's or agent's file reference 5753.204-WO, ATG		IMPORTANT NOTIF	
International application No. PCT/DK99/00624		nal filing date (day/month/ye ovember 1999 (12.11.9	
The following indications appeared on record concerning: The applicant the inventor	the agen	·	n representative
Name and Address		State of Nationality DK	State of Residence DK
NOVO NORDISK A/S Novo Allé DK-2880 Bagsværd		Telephone No.	<u></u>
Denmark	,	Facsimile No.	
		Teleprinter No.	
2. The International Bureau hereby notifies the applicant that the	ne following	change has been recorded	concerning:
X the person the name the add	Iress	the nationality	the residence
Name and Address		State of Nationality DK	State of Residence DK
NOVOZYMES A/S Krogshoejvej 36 DK-2880 Bagsværd		Telephone No.	
Denmark		Facsimile No.	
		Teleprinter No.	
Further observations, if necessary: The common representative has been changed	according	ıly.	
4. A copy of this notification has been sent to:			
X the receiving Office		the designated Offices	
the International Searching Authority		X the elected Offices cor	ncerned
X the International Preliminary Examining Authority		L	
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorize	d officer S. De Michie	el
Facsimile No.: (41-22) 740.14.35	Telephon	e No.: (41-22) 338.83.38	003776902

ATENT COOPERATION TREA. Y

DOT	From the INTERNATIONAL BUREAU
PCT	То:
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 17 August 2000 (17.08.00)	NOVO NORDISK A/S Enzyme Business Patents Novo Allé DK-2880 Bagsværd DANEMARK
Applicant's or agent's file reference 5753.204-WO, ATG	IMPORTANT NOTIFICATION
International application No. PCT/DK99/00624	International filing date (day/month/year) 12 November 1999 (12.11.99)
The following indications appeared on record concerning: the applicant the inventor Name and Address NOVO NORDISK A/S Corporate Peterster	the agent X the common representative State of Nationality State of Residence
Corporate Patents Novo Alle DK-2880 Bagsværd Denmark	Telephone No. +45 4444 8888
	Facsimile No. +45 4449 3256
	Teleprinter No.
2. The International Bureau hereby notifies the applicant that	t the following change has been recorded concerning:
1 1 45 1 1	ddress the nationality the residence
Name and Address NOVO NORDISK A/S Enzyme Business Patents Novo Allé DK-2880 Bagsværd Denmark	State of Nationality Telephone No. +45 4444 8888 Facsimile No. +45 4449 6080 Teleprinter No.
3. Further observations, if necessary: The Common Representative's address on the I Rule 92bis. In case of disagreement, the Interna immediately.	Demand has been considered as a change under ational Bureau should be notified
4. A copy of this notification has been sent to:	
X the receiving Office	the designated Offices concerned
the International Searching Authority	X the elected Offices concerned
X the International Preliminary Examining Authority	other:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer A. Karkachi
acsimile No.: (41-22) 740.14.35	Telephone No : (41-22) 338 83 38

- WO 00/29591 1. A transgenic plant cell transformed with a nucleotide sequence encoding a maltogenic alpha-amylase which, in the 5 cell, is operably linked to elements required for mediating expression of the nucleotide sequence.
 - 2. A transgenic plant cell transformed with a nucleotide sequence encoding a beta-amylase which, in the cell, is 10 operably linked to elements required for mediating expression of the nucleotide sequence.
 - 3. A plant cell according to claim 1 or 2 where said cell is a seed producing plant cell.
 - 4. A transgenic seed producing plant cell transformed with a nucleotide sequence encoding a maltogenic alpha-amylase or a beta-amylase which, in the cell, is operably linked to elements required for mediating expression of the nucleotide sequence in 20 the seeds of a plant regenerated from the plant cell.
 - 5. The plant cell according to any of claims 1 or 3-4, wherein the maltogenic alpha-amylase has the amino acid sequence shown in SEQ ID NO: 2 or the amino sequence acid sequence set forth 25 in amino acids 1-686 of SEQ ID NO:1.
 - 6. The plant cell according to any of claims 1 or 3-4, wherein the maltogenic alpha-amylase has an amino acid sequence having at least 70% identity to SEQ ID NO: 2, preferably at least 75%, 80 %, 85% or at least 90%, e.g. at least 95%, 97%, 98 %, or at least 99%.
 - 7. The plant cell according to any of claims 1 or 3-4, wherein the maltogenic alpha-amylase has an amino acid sequence having at least 70% identity to the amino acid sequence set forth in amino acids 1-686 of SEQ ID NO:1, preferably at least 75%, 80 %, 85% or at least 90%, e.g. at least 95%, 97%, 98 %, or at least 99%.

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- 8. The plant cell according to any of claims claim 1 or 3-4, wherein the maltogenic alpha-amylase has an amino acid sequence which is a subsequence of the amino acid sequence of any of claims 4-6, said subsequence e.g. consisting of 10-500 amino acid residues, such as in the range of 10-100 amino acid residues, such as 15-50 amino acid residues.
- 9. The plant cell according to any of claims 1 or 3-8, wherein the nucleotide sequence hybridizes to the DNA sequence set 0 forth in SEQ ID NO:1 or to the DNA sequence encoding Novamyl harboured in the Bacillus strain NCIB 11837 under low stringency conditions, or under medium stringency, more preferably at medium/high stringency or high stringency or even more preferably at very high stringency.

10. The plant cell according to any of claims 1-9, wherein the nucleotide sequence encoding the maltogenic alpha-amylase or the beta-amylase is derived from a microorganism, preferably a bacterium.

11. The plant cell according to claim 10, wherein the nucleotide sequence encoding the maltogenic alpha-amylase is derived from the *Bacillus* strain NCIB 11837.

- 25 12. The plant cell according to claim 10, wherein the nucleotide sequence encoding the beta amylase is derived from a strain of Chlostridium, such as e.g. Clostridium thermosulfurgenes or form a strain of Bacillus, such as e.g. a Bacillus acidopullulyticus.
 - 13. The plant cell according to claim 2, wherein the nucleotide sequence encodes a cereal beta-amylase, such as e.g. a barley beta-amylase.
- 35 14. The plant cell according to any of claims 1-13, wherein a seed specific promoter drives the expression of the maltogenic alpha-amylase or the beta-amylase.

- 15. The plant cell according to any of the preceding claims, wherein the plant is a monocotyledoneous plant, such as, e.g. a cereal.
- 5 16. The plant cell according to claim 15, which is wheat.
- 17. The plant cell according to any of the preceding claims wherein the plant is wheat and the maltogenic alpha-amylase is encoded by the DNA sequence shown in SEQ ID NO 1 or the amino sequence acid sequence set forth in amino acids 1-686 of SEQ ID NO:1.
 - 18. A transgenic plant regenerated from a plant cell according to any of the preceding claims and the progeny of said plant.
 - 19. A transgenic plant according to claim 15 which is a seed producing plant.
 - 20. The plant according to claim 19 which is wheat.
 - 21. A seed of a plant according to 20 containing the maltogenic alpha-amylase or the beta-amylase in an amount which is effective to delay staling of bread baked from the wheat.
- 25 22. A vector comprising a nucleotide sequence encoding a maltogenic alpha-amylase or a beta-amylase and one or more regulatory elements enabling the expression of the nucleotide sequence in a plant cell.
- 30 23. A vector according to claim 22 where said plant cell is a seed producing plant cell.
- 24. A DNA construct comprising a nucleotide sequence encoding a maltogenic alpha-amylase or a beta-amylase and one or more regulatory elements capable of directing the expression of the nucleotide sequence and preferably to direct secretion of the gene product to the seeds of a seed producing plant.

- 25. A method of producing a maltogenic alpha-amylase, which method comprises recovery of the amylase from a seed according to claim 21.
- 5 26. A method of producing a beta-amylase, which method comprises recovery of the amylase from a seed according to claim 21.
- 27. A ground seed preparation containing a maltogenic alpha-10 amylase or a beta-amylase prepared by grinding a seed according to claim 21.
 - 28. Flour prepared from a ground seed preparation according to claim 27.

- 29. Use of a seed according to claim 21 or a seed preparation according to claim 27 for catalyzing an industrial process.
- 30. The use according to claim 29, wherein the industrial 20 process is baking.
 - 31. Use of a seed according to claim 21 or a seed preparation according to claim 27 for the preparation of a dough.
- 25 32. Use of a flour according to claim 28 in baking.
 - 33. Use of a seed according to claim 21 or a seed preparation according to claim 27 for the preparation of a bread improver composition.

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34. A method for preparing a baked product comprising preparation of a dough from the flour of claim 28 and/or the bread improver composition of claim 33 and baking the product to obtain a baked product.

PATENT COOPERATION TREATY

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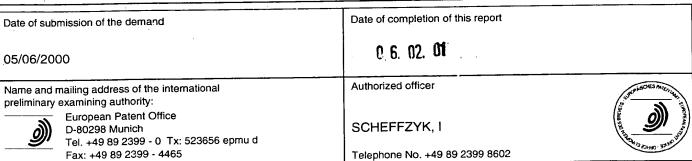
PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

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Applicant's o	r ager	nt's file reference	FOR FURTHER ACT	See Notifica	ation of Transmittal of International Examination Report (Form PCT/IPEA/416)
5753.204-	WO,	ATG	FOR FURTHER ACT	Preliminary	
International	applic	cation No.	International filing date (da	y/month/year)	Priority date (day/month/year)
PCT/DK99	9/006	624	12/11/1999		12/11/1998
International C12N15/8		nt Classification (IPC) or na	tional classification and IPC		
Applicant		HOVOZYMES	Als		
[NOVO NO	RDI	SK A/S et al.]			
1. This in	terna	tional preliminary exam	ination report has been p	repared by this Inte	rnational Preliminary Examining Authority
and is	trans	mitted to the applicant a	according to Article 36.		
2. This R	EPO	RT consists of a total of	6 sheets, including this	cover sheet.	
⊠ ⊤ı	nis re	port is also accompanie	d by ANNEXES, i.e. shee	ets of the descriptio	n, claims and/or drawings which have
l he	on a	mended and are the bas	sis for this report and/or s	sheets containing re	ectifications made before this Authority
(s	ee R	ule 70.16 and Section 6	07 of the Administrative I	ristructions under tr	le (01).
These	anne	exes consist of a total of	4 sheets.		
3. This re	eport	contains indications rela	ating to the following item	s:	
,	\boxtimes	Basis of the report			
li li		Priority		,	
111		Non-establishment of	opinion with regard to nov	velty, inventive step	and industrial applicability
IV		Lack of unity of inventi	on	t	
V	⊠.	Reasoned statement u citations and explanati	inder Article 35(2) with re ions suporting such state	gard to novelty, inv ment	entive step or industrial applicability;
VI	\boxtimes	Certain documents cit	ted		
VII	\boxtimes		international application		
VIII	\boxtimes	Certain observations of	on the international applic	ation	
Date of sub	missi	on of the demand		Date of completion o	f this report
25.50,000					



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/DK99/00624

		s of the report		
1.	resp the r	onse to an invitation	on under Article 14 are	ubstitute sheets which have been furnished to the receiving Office in referred to in this report as "originally filed" and are not annexed to ents (Rules 70.16 and 70.17).):
	1-27		as originally filed	
	Claiı	ms, No.:		
	1-22		with telefax of	07/12/2000
	Drav	wings, sheets:		
	1/1		as originally filed	
	Seq	uence listing par	t of the description, p	ages:
	1-8,	as originally filed		
2.	With	n regard to the lan Juage in which the	guage, all the elements international applicatio	s marked above were available or furnished to this Authority in the n was filed, unless otherwise indicated under this item.
	The	se elements were	available or furnished t	o this Authority in the following language: , which is:
				or the purposes of the international search (under Rule 23.1(b)).
		the language of p	publication of the interna	ational application (under Rule 48.3(b)).
		the language of a 55.2 and/or 55.3)		or the purposes of international preliminary examination (under Rule
3.	With inte	n regard to any nu rnational prelimina	icleotide and/or amino ary examination was ca	acid sequence disclosed in the international application, the rried out on the basis of the sequence listing:
	×	contained in the i	international application	in written form.
				cation in computer readable form.
		=	quently to this Authority	
				in computer readable form.
		The statement th		nished written sequence listing does not go beyond the disclosure in

☐ The statement that the information recorded in computer readable form is identical to the written sequence

4. The amendments have resulted in the cancellation of:

listing has been furnished.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/DK99/00624

		the description,	pages:		
		the claims,	Nos.:		
		the drawings,	sheets:		
5.		This report has been considered to go bey	establishe	d as if (so sclosure a	ome of) the amendments had not been made, since they have been as filed (Rule 70.2(c)):
		(Any replacement sh report.)	neet contair	ning such	amendments must be referred to under item 1 and annexed to this
6.	Add	litional observations, i	f necessary	y:	
٧.		asoned statement un utions and explanation			ith regard to novelty, inventive step or industrial applicability; th statement
1.	Stat	tement			
	Nov	velty (N)	Yes: No:	Claims Claims	1-22
	Inve	entive step (IS)	Yes: No:	Claims Claims	1-22
	Indi	ustrial applicability (IA) Yes:	Claims	1-22

2. Citations and explanations see separate sheet

VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

No:

Claims

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/DK99/00624

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

International application No. PCT/DK99/00624 INTERNATIONAL PRELIMINARY **EXAMINATION REPORT - SEPARATE SHEET**

SECTION V-----

The subject-matter of present claims appears to be novel since a plant expressing a maltogenic amylase as defined in claim 1 is not described in the available prior art. Present application is characterized in that a maltogenic alpha-amylase which corresponds to Novamyl having the sequence shown in SEQ.ID.NO. 1 is expressed in plant cells to modify the amylase content thereof. The nontransgenic use of Novamyl in the baking industry as an anti-staling agent due to its ability to reduce retrogradation of starch/amylopectin is well-known in the art (see e.g. page 1 of present application). Thus, present application essentially differs from the prior art in that Novamyl is expressed in plant cells, i.e. its transgenic -use is disclosed in present application. Thus, presently claimed subject-matter consists merely in a new use (transgenic) of a well-known material (Novamyl) employing the known properties (anti-staling effect) of that material (cf. also Guidelines PCT, IV 8.8 (A1)(iii)). However, this new use cannot be seen as inventive step establishing feature but merely as an obvious alternative to a person skilled in the art, in particular taking into account that the expression of other heterologous amylase genes in plant cells for the same purpose is also wellknown in the art (see e.g. EP-A-0 479359 (1)). Thus, the subject-matter of present claims does not meet the requirements of Art. 33(3) PCT.

SECTION VI-----

WO 99/43793 priority data 27.02.98 filing date 26.02.99 publication date 02.09.99 11.03.98 12.03.98

SECTION VII----

No basis can be found in the application as filed for the term "cereal" introduced 1). in newly-filed claims (Art. 34(2)(b) PCT).

INTERNATIONAL PRELIMINARY International application No. PCT/DK99/00624 EXAMINATION REPORT - SEPARATE SHEET

- 2). Claims 9 and 14 appear to be redundant in view of claims 8 and 12, respectively.
- 3). Concerning claim 21 it is noted that claim 2 does not define an amylase.

SECTION VIII-----

- 1). The terms "broadly" and "essentially" used in claim 4 are relative terms and thus open to interpretation. Correspondingly, the scope of this claim is unclear (Art. 6 PCT).
- 2). In so far as claim 8 does not define an alpha-amylase the reference of the word "said" is unclear.

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: C12N 15/82, 15/55, 5/10, A01H 5/00

(11) International Publication Number:

WO 00/29591

(43) International Publication Date:

25 May 2000 (25.05.00)

(21) International Application Number:

PCT/DK99/00624

A1

(22) International Filing Date:

12 November 1999 (12.11.99)

(30) Priority Data:

PA 1998 01478 12 November 1998 (12.11.98) DK

60/123,643

10 March 1999 (10.03.99)

US

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(72) Inventors; and

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(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: TRANSGENIC PLANT EXPRESSING MALTOGENIC ALPHA-AMYLASE

(57) Abstract

A transgenic plant cell expressing a maltogenic amylase (such as Novamyl®) or a beta-amylase; a transgenic plant regenerated from said cell; seeds generated from such plant where said seeds comprise a maltogenic amylase or a beta-amylase and the use of said seeds, optionally in ground form, for catalyzing an industrial process, such as e.g. in baking. The maltogenic amylase providing an anti staling effect in bread produced from the seeds in question.

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Inte .tional Application No PCT/DK 99/00624

CLASSIFICATION OF SUBJECT MATTER PC 7 C12N15/82 C12N C12N15/55 C12N5/10A01H5/00 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12N A01H Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category ° Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X EP 0 781 849 A (SAPPORO BREWERIES) 2-4. 2 July 1997 (1997-07-02) 13-15. 18,19, 22-24,26 page 4, line 33 - line 42 X EP 0 479 359 A (MOGEN INT ; GIST BROCADES 2-4. NV (NL)) 8 April 1992 (1992-04-08) 13-15. 18,19, 22-24,26 page 4, line 16 - line 20 Υ WO 91 14772 A (MOGEN INT ; GIST BROCADES NV 1 - 34(NL)) 3 October 1991 (1991-10-03) page 8, line 5 - line 8 X Further documents are listed in the continuation of box C. Patent family members are listed in annex. ° Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 09/05/2000 18 April 2000 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Maddox, A Fax: (+31-70) 340-3016



Inte. .ional Application No PCT/DK 99/00624

Category °	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Pologopt to stain 11
		Relevant to claim No.
· · · · · ·	WO 91 04669 A (NOVONORDISK AS) 18 April 1991 (1991-04-18) the whole document	1-34
, χ	WO 99 43794 A (FRANDSEN TORBEN PETER; BEIER LARS (DK); NOVONORDISK AS (DK); SVEND) 2 September 1999 (1999-09-02) the whole document	34
· , X	WO 99 43793 A (FRANDSEN TORBEN PETER; BEIER LARS (DK); NOVONORDISK AS (DK); SCHAE) 2 September 1999 (1999-09-02) the whole document	34
4	WO 98 18332 A (WORKMAN PACKAGING INC ;SMITH JAMES P (CA)) 7 May 1998 (1998-05-07) the whole document	1-34
A	DIDERICHSEN, B., ET AL.: "B. stearothermophilus maltogenic alpha-amylase (amyM) gene, partial cds" EMBL ACCESSION NO:M36539, 30 August 1990 (1990-08-30), XP002135941 the whole document -& SWISSPROT ACCESSION NO:P19531, 1 February 1991 (1991-02-01), XP002135942	1-34
1	EP 0 120 693 A (NOVO INDUSTRI AS) 3 October 1984 (1984-10-03) the whole document	1-34
	WO 97 32986 A (WEISSHEIMER FRIEDR MALZFAB;SARX HANS GEORG (DE); DIEFENTHAL THOMA) 12 September 1997 (1997-09-12) examples 1-6	1-34
A	BARRO, F., ET AL.: "Transformation of wheat with high molecular weight subunit genes results in improved functional properties. " NATURE BIOTECHNOLOGY, vol. 15, no. 12, 1997, pages 1295-1299, XP002135959 the whole document	16,20, 28-34
A	WO 92 01042 A (NOVONORDISK AS) 23 January 1992 (1992-01-23) the whole document	25,26
Ī	WO 00 08185 A (FROHBERG CLAUS ;HOECHST SCHERING AGREVO GMBH (DE)) 17 February 2000 (2000-02-17) the whole document	2-4, 13-15, 18,19, 22-24,26

information on patent family members

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